Exhibit C

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF PENNSYLVANIA

David Hall and Jennifer Knapp, on behalf of themselves and all others similarly situated, Plaintiffs, v. SEI Investments Company, SEI Investments Management Corporation, SEI Capital Accumulation Plan Administration Committee, and John Does 1-30, Defendants.	Case No. 2:25-cv-07341-JDW

NOTICE OF PENDENCY OF CLASS ACTION AND PROPOSED SETTLEMENT

IF YOU WERE A PARTICIPANT IN THE SEI CAPITAL ACCUMULATION PLAN BETWEEN JANUARY 1, 2020, AND [INSERT PRELIMINARY APPROVAL DATE], YOU COULD RECEIVE A PAYMENT AND YOUR LEGAL RIGHTS WILL BE AFFECTED BY THIS CLASS ACTION SETTLEMENT.

A Federal Court authorized this Notice. This is not a solicitation from a lawyer.

This Notice advises you of the settlement (the "Settlement") of a lawsuit against SEI Investments Company, SEI Investments Management Corporation, and the SEI Capital Accumulation Plan Administration Committee (collectively, "Defendants").

In the lawsuit, Plaintiffs David Hall and Jennifer Knapp ("Plaintiffs," and with Defendants, the "Parties"), allege that Defendants violated the Employee Retirement Income Security Act of 1974 ("ERISA") in the administration of the SEI Capital Accumulation Plan (the "Plan" or "SEI Plan"). Defendants deny the allegations and deny that they engaged in any improper conduct.

YOU SHOULD READ THIS ENTIRE NOTICE CAREFULLY BECAUSE YOUR LEGAL RIGHTS WILL BE AFFECTED, WHETHER YOU ACT OR NOT.

Your rights and options, and the deadline for you to object if you are opposed to the Settlement, are explained in this Notice.

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1. Why did I get this Notice?

Records indicate that you are or may be a Current Participant, Former Participant, or Beneficiary or Alternate Payee of a Participant or Former Participant, of the Plan at any time on or after January 1, 2020, through and including [INSERT PRELIMINARY APPROVAL DATE] (the "Class Period").[1]

The Settlement Class consists of all persons who participated in the Plan at any time during the Class Period, including any Beneficiary of a deceased Person who participated in the Plan during the Class Period and any Alternate Payee of a Person subject to a Qualified Domestic Relations Order ("QDRO") who participated in the Plan during the Class Period. Excluded from the Settlement Class are Defendants and their Beneficiaries.

You are receiving this Notice because you have a right to know about the proposed settlement of a class action lawsuit in which you are potentially a Class Member before the Court decides whether to approve the Settlement.

This Notice summarizes the lawsuit, the Settlement, your legal rights, what benefits are available, who is eligible to receive them, and how to get them (including the applicable deadlines).

The lawsuit is pending in the United States District Court for the Eastern District of Pennsylvania (the "Court"). It is known as *Hall, et al. v. SEI Investments Company, et al.*, Case No. 2:25-cv-07341-JDW, and it is brought against Defendants.

2. What is this lawsuit about?

On December 26, 2025, Plaintiffs filed a class action complaint against Defendants, alleging that their administration of the Plan violated ERISA, including by causing the Plan to offer certain investment options that Plaintiffs claimed were underperforming and imprudent. Since the filing of the action, the parties engaged in litigation, including investigating the claims and other developments, conferring and exchanging pre-briefing letters in advance of a motion to dismiss, and exchanging Plan-related documents. In August 2026, the Parties mediated the action and were ultimately able to reach the terms of the Settlement explained in this Notice.

Defendants have denied and continue to deny any wrongdoing or liability and will continue to vigorously defend the lawsuit if the proposed Settlement is not approved. Defendants are settling this case to avoid the expense, inconvenience, and inherent risk and disruption of litigation.

3. What is a class action lawsuit?

In a class action lawsuit, one or more people called "class representatives" sue on their own behalf and on behalf of other people who they allege have similar claims. If the class is certified by the court, then one court resolves all the issues for all class members in a single lawsuit.

[1]Capitalized terms not otherwise defined in this Notice shall have the same meaning as in the Settlement Agreement, which is available at [*INSERT SETTLEMENT WEBSITE LINK*].

Plaintiffs are the proposed class representatives in this lawsuit, and are sometimes referred to in this Notice as the "Class Representatives" or as "Plaintiffs."

4. Why is there a settlement?

The Parties agreed to the Settlement after extensive negotiations and a mediation with an experienced, neutral third-party mediator. By agreeing to a settlement, the Parties avoid the costs and risks of further litigation, and Plaintiffs and the other members of the Class will receive compensation. Class Counsel have conducted an extensive review of the evidence in the case and the potential risks and benefits of continued litigation. Plaintiffs and Class Counsel agree that the Settlement is in the best interest of the Settlement Class. The Court has not made any finding that Defendants have done anything wrong or violated any law or regulation.

5. How do I get more information about the Settlement?

This Notice summarizes the proposed settlement. For the precise terms and conditions of the Settlement, please see the Settlement Agreement, which is available at [*insert settlement website*], by contacting Class Counsel (see answer to question 12 for contact information) or the Settlement Administrator (see answer to question 6 for contact information), or by accessing the Court docket in this case, for a fee, through the Court's Public Access to Court Electronic Records (PACER) system at https://ecf.paed.uscourts.gov.

PLEASE DO NOT CALL THE COURT OR THE COURT CLERK'S OFFICE TO INQUIRE ABOUT THIS SETTLEMENT OR THE CLAIM PROCESS.

6. Who will administer the Settlement?

The Settlement Administrator, Kroll Settlement Administration LLC, will administer the Settlement, including the processing of the Former Participant Claim Form, if applicable, that you may need to fill out and send in to receive any settlement payment. You may contact the Settlement Administrator by: (a) sending a letter to [*insert title, contact, and mailing address*]; (b) sending an e-mail to [*insert appropriate email address*]; (c) visiting the Settlement website at [*insert website link*], or (d) calling toll-free at [*insert appropriate phone no.*].

THE SETTLEMENT DETAILS – WHAT THE SETTLEMENT PROVIDES

7. What does the Settlement provide?

Defendants have agreed to pay a total of $2,750,000.00 into a Qualified Settlement Fund, which includes amounts for expenses associated with administering the Settlement and other related costs ("Gross Settlement Amount"). Class Counsel intends to ask the Court to approve attorneys' fees of up to 35% of the Gross Settlement Amount ($962,500), plus reimbursement of litigation costs and expenses, as well as Case Contribution Awards not to exceed $5,000 for each Class Representative. The amount that will be available for distribution to Class Members ("Net Settlement Amount") will be the Gross Settlement Amount minus Court-approved attorneys' fees and litigation costs and expenses, Court-approved Case Contribution Awards,

Administrative Expenses (including applicable taxes and tax-related costs), and a contingency reserve established under the Settlement Agreement for unpaid or anticipated Administrative Expenses and adjustments for data or calculation errors.

Defendants also agreed to conduct, within nine months after the Settlement Effective Date, a request for proposals for an unaffiliated investment manager under ERISA § 3(38) to assume fiduciary authority and discretion over the Plan's investment options, other than the SEI Company Stock Fund and the current brokerage account option.

8. How may I benefit from the Settlement?

You may be entitled to payment of a portion of the Net Settlement Amount. The amount paid to each Current Participant, Former Participant, Beneficiary, or Alternate Payee will be determined by a Plan of Allocation subject to approval by the Court.

Under the proposed Plan of Allocation, your payment will be proportional to the sum of your year-end Plan account balances during the Class Period compared with the corresponding total for the Plan, subject to the adjustments described in the Plan of Allocation. The proposed Plan of Allocation is available at [SETTLEMENT WEBSITE].

As explained below, if you are a Current Participant, or a Beneficiary or Alternate Payee and you have an Active Account in the Plan, you do not need to take any action to receive payment under the Settlement. Payments made to Current Participants, or to Beneficiary or Alternate Payee who have Active Accounts in the Plan, shall be made into these persons' individual investment accounts in the Plan.

An "Active Account" is an individual investment account in the Plan with a balance greater than $0.00. Current or Former Participant status is determined as of [PRELIMINARY APPROVAL DATE]. For Beneficiaries and Alternate Payees, whether a claim form is required and how payment will be made depend on whether they have their own Active Account as of that date.

If you are a Former Participant, or a Beneficiary or Alternate Payee and you do not have an Active Account in the Plan, you will need to submit a Former Participant Claim Form by the submission deadline to receive payment under the Settlement. Payments made to Former Participants, or to Beneficiaries or Alternate Payees who do not have Active Accounts in the Plan, may be made either by check or tax-qualified rollover to an individual retirement account or other eligible employer plan.

9. How do I submit a claim for a Settlement Payment?

If you are a Current Participant, or a Beneficiary or Alternate Payeeof a Participant and you have an Active Account in the Plan, you do not need to submit a claim to be eligible for a payment under the Settlement. You will receive any payment for which you are eligible automatically in your Plan account.

If you are a Former Participant, or a Beneficiary or Alternate Payee and you do not have an

Active Account in the Plan, you must submit a Former Participant Claim Form by the submission deadline to be eligible for a payment under the Settlement. "Former Participant" means a member of the Settlement Class who does not have an Active Account in the Plan (*i.e.*, a balance greater than $0) as of [INSERT PRELIMINARY APPROVAL DATE].

If you are a Former Participant, or a Beneficiary or Alternate Payee without an Active Account, you must complete and mail the Former Participant Claim Form to the Settlement Administrator at the address shown on the Form. The Settlement Administrator must receive your completed Form by [CLAIM DEADLINE: 120 CALENDAR DAYS AFTER ENTRY OF THE PRELIMINARY APPROVAL ORDER].

A Former Participant Claim Form will be deemed submitted when it is actually received by the Settlement Administrator at the address listed in the Former Participant Claim Form.

NOTE: Even if you do not submit a Former Participant Claim Form, you will be bound by the Settlement. (See answer to question 14 below.)

10. What are the Plaintiffs receiving from the Settlement?

Class Counsel intends to ask the Court to award each of the Class Representatives a Case Contribution Award of an amount not to exceed $5,000.00 in recognition of the work and effort they expended on behalf of the Class.

THE SETTLEMENT DETAILS – WHAT YOU GIVE UP

11. What do I give up by participating in the Settlement?

If the Settlement becomes effective, all Class Members will release the "Released Claims" against the "Released Parties," as those terms are defined in the Settlement Agreement, whether or not they submit a claim or receive a payment. The Released Parties include Defendants and other persons and entities identified in the Settlement Agreement. The Released Claims include known and unknown claims based on acts or failures to act during the Class Period concerning the Plan's management, investment options, fees, expenses, service providers, related disclosures, and fiduciary conduct, as well as claims concerning the settlement allocation and the Independent Fiduciary's approval, subject to the terms and exceptions in the Settlement Agreement.

For additional details about the scope of the release, consult the Settlement Agreement or contact Class Counsel. (See answer to question 5 for details.)

THE LAWYERS REPRESENTING YOU

12. Do I have a lawyer in this case?

Yes. The Court has appointed Almeida Law Group LLC and Levin Sedran & Berman LLP as Class Counsel. You will not be charged for the work of these lawyers. If you want to be

represented by a different lawyer in this case, you may hire one at your own expense.

13. How will the lawyers (Class Counsel) be paid?

Class Counsel will ask the Court for an award of attorneys' fees of up to 35% ($962,500) of the Gross Settlement Amount, as well as reasonable litigation costs and expenses, based upon the value of the Settlement, the time they have devoted to this engagement, and the expenses they have advanced in prosecuting this matter. Any attorneys' fees and expenses awarded by the Court will be paid to Class Counsel from the Qualified Settlement Fund.

OPTING OUT OF THE SETTLEMENT

14. Can I exclude myself from the Settlement Class?

No. The Settlement Class has been certified under Federal Rule of Civil Procedure 23(b)(1), which does not permit Class Members to opt out of the Settlement Class. Therefore, as a Class Member, you are bound by any judgments or orders that are entered in the lawsuit for all claims that were asserted in the lawsuit or are otherwise included as Released Claims as defined in the Settlement Agreement. However, if you wish to object to any part of the Settlement, you may (as discussed below) write to the Court and counsel about why you object to the Settlement.

OBJECTING TO THE SETTLEMENT

15. What does it mean to object?

Objecting is simply telling the Court that you do not like something about the Settlement. Objecting will not have any bearing on your right to receive the benefits of the Settlement if it is approved by the Court.

16. What is the procedure for objecting to the Settlement, including any objection to Class Counsel's Motion for Attorneys' Fees and Expenses or Case Contribution Awards?

You can ask the Court to deny approval of the Settlement and/or the Motion for Attorneys' Fees and Expenses of Class Counsel or the Case Contribution Awards to be requested for the Class Representatives by filing an objection. You cannot ask the Court to order a different settlement; the Court can only approve or reject the Settlement presented to it. If the Court denies approval, no settlement payments will be sent out and the lawsuit will continue. If that is what you want to happen, you must object. The Court, however, can award less than the amount requested by Class Counsel for attorneys' fees and expenses or the amount requested for the case contribution awards. If the Court does so (because of an objection or in its own discretion) that ruling could affect the timing and amount of settlement payments, but any reduction in Class Counsel's attorneys' fees and expenses or Case Contribution Awards to be paid to the Class Representatives would not otherwise affect the finality of the Settlement.

Any objection to the proposed Settlement or Motion for Attorneys' Fees and Expenses or Case

Contribution Awards must be in writing in accordance with the requirements in the Preliminary Approval Order. If you file a timely written objection, you may, but are not required to, appear at the Fairness Hearing, either in person or through your own attorney. If you appear through your own attorney, you are responsible for hiring and paying that attorney.

All written objections and supporting papers must: (a) clearly identify the case name and number as *Hall, et al. v. SEI Investments Company, et al.*, Case No. 2:25-cv-07341; (b) be submitted to the Court either by mailing them to the Clerk of the Court for the United States District Court for the Eastern District of Pennsylvania (see address below), or by filing them in person at any location of the United States District Court for the Eastern District of Pennsylvania; and (c) be filed or postmarked on or before _____, 2026. [INSERT ACTUAL DATE OF 30 DAYS BEFORE THE FAIRNESS HEARING] You must also send copies of your objection and supporting papers to Class Counsel and Defense Counsel at the addresses below by the same deadline.

Your objection must also include: (1) your full name, current address, and current telephone number, and, if represented by counsel, any of your counsel's names and contact information; (2) a written statement of your objection(s), specifying the reason(s) for each objection, including any supporting evidence, and whether the objection applies only to you, to a specific subset of the Settlement Class, or to the entire Settlement Class; (3) copies of any papers, brief, or other documents upon which the objection is based; (4) a list of all persons who will be called to testify in support of the objection; (5) a list of any other objections to any class action settlements you or anyone acting on your behalf has submitted in any court, whether state, federal, or otherwise, in the United States in the previous five (5) years; and (6) your signature, even if you are represented by counsel.

Any party may file a response to an objection by a Class Member at least seven (7) calendar days before the Fairness Hearing.

ANY CLASS MEMBER WHO DOES NOT OBJECT IN THE MANNER DESCRIBED ABOVE SHALL BE DEEMED TO HAVE WAIVED ANY OBJECTION AND SHALL NOT HAVE ANY RIGHT TO OBJECT TO THE FAIRNESS OR ADEQUACY OF THE SETTLEMENT.

Clerk of Court	Class Counsel	Defense Counsel
Clerk of Court United States District Court Eastern District of Pennsylvania James A. Byrne U.S. Courthouse 601 Market Street Philadelphia, PA 19106	Matthew J. Langley Christopher M. Nienhaus ALMEIDA LAW GROUP LLC 849 W. Webster Ave. Chicago, IL 60614 Tel: (312) 576-3024 matt@almeidalawgroup.com chris@almeidalawgroup.com Austin B. Cohen Charles E. Schaffer LEVIN SEDRAN & BERMAN LLP 510 Walnut Street, Suite 500 Philadelphia, PA 19106 Tel: (215) 592-1500 acohen@lfsblaw.com cschaffer@lfsblaw.com	Jeremy P. Blumenfeld MORGAN, LEWIS & BOCKIUS 2222 Market Street Philadelphia, PA 19103 Tel: (215) 963-5000 jeremy.blumenfeld@morganlewis.com

THE COURT'S FAIRNESS HEARING

17. When/where will the Court decide whether to approve the Settlement?

On _____, 2027, at _____ a.m./p.m. [ACTUAL DATE AND TIME TO BE AT LEAST ___ DAYS AFTER MOTION FOR ENTRY OF PRELIMINARY APPROVAL ORDER AS ESTABLISHED BY THE COURT], in the James A. Byrne United States Courthouse, 601 Market Street, Courtroom 12-B, Philadelphia, PA 19106, the Court will hold a Fairness Hearing to determine whether the proposed Settlement is fair, reasonable, and adequate and whether it should be approved. The hearing may be continued from time to time by the Court without further notice, and may be held via teleconference or videoconference. Please check the settlement website, access the Court's PACER system, or contact Class Counsel if you wish to confirm that the hearing time has not been changed and to determine if it is occurring in person or by video or teleconference. (See answer to question 5 for details.)

18. Do I have to attend the Fairness Hearing?

No. Class Counsel will answer any questions the Court may have. However, you are welcome to attend at your own expense. If you submit an objection to the Settlement, you do not have to go to Court to talk about it. As long as your objection is filed or postmarked by _____

[ACTUAL DATE TO BE 30 DAYS BEFORE THE FAIRNESS HEARING] and you comply with the requirements in the answer to question 16 above, the Court will consider it. You may also send your own lawyer at your expense to attend the Fairness Hearing.

19. May I speak at the Fairness Hearing?

If you are a Settlement Class Member, you may ask the Court for permission to speak at the hearing.

Objectors or their attorneys intending to participate at the Fairness Hearing must file with the Court and serve on Class Counsel and Defense Counsel a notice of intention to participate (and, if applicable, the name, address, and telephone number of the objector's attorney) with the Court no later than _____, 2027 [ACTUAL DATE TO BE 15 DAYS BEFORE THE DATE OF FAIRNESS HEARING SPECIFIED IN THE PRELIMINARY APPROVAL ORDER]. Any objectors, or their counsel, who do not timely file a notice of intention to participate in accordance with this Paragraph shall not be permitted to speak at the Fairness Hearing, except for good cause shown.

IF YOU DO NOTHING

20. What happens if I do nothing at all?

IF YOU DO NOTHING, YOU AND ALL OTHER SETTLEMENT CLASS MEMBERS WILL BE BOUND BY THE JUDGMENT AND SETTLEMENT AGREEMENT, INCLUDING THE RELEASE OF CLAIMS.

If you are a Current Participant, or a Beneficiary or Alternate Payee and you have an Active Account in the Plan, you do not need to take any action to be eligible to receive the Settlement benefits.

If you are a Former Participant, or a Beneficiary or Alternate Payee and you do not have an Active Account in the Plan, you must submit a Former Participant Claim Form by the submission deadline or you will not receive any of the settlement payments described above in answer to questions 7 and 8. If you do nothing, you will still be bound by the Settlement as described in this Settlement Notice, if the Settlement is finally approved, but YOU WILL NOT RECEIVE ANY MONEY UNLESS YOU SUBMIT A FORMER PARTICIPANT CLAIM FORM, received by the Settlement Administrator by the deadline stated in Question 9 above.

Dated: _____ [ACTUAL NOTICE DATE TO BE NO LATER THAN 30 DAYS AFTER ENTRY OF PRELIMINARY APPROVAL ORDER]

THIS NOTICE HAS BEEN SENT TO YOU BY ORDER OF THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

EXHIBIT A-1

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Your completed claim must be received online or by mail by:
Month XX, 202X

FORMER PARTICIPANT CLAIM FORM

Hall, et al. v. SEI Investments Company, et al.
No. 2:25-cv-07341-JDW
United States District Court for the Eastern District of Pennsylvania

GENERAL INSTRUCTIONS

This Former Participant Claim Form is only for Class Members who are Former Participants, or Beneficiaries or Alternate Payees who did not have their own Active Account in the Plan as of [Preliminary Approval date]. An Active Account is an individual investment account with a balance greater than $0.00. A **Former Participant** is a Class Member who does not have an Active Account as of [Preliminary Approval date]. A **Beneficiary** is any individual, trust, estate, or other recipient entitled to receive a benefit under the SEI Capital Accumulation Plan (the "Plan") because of a deceased Current Participant's or Former Participant's interest in the Plan, other than an Alternate Payee. An **Alternate Payee** is a Person other than a Current Participant, Former Participant, or Beneficiary in the Plan who is entitled to a benefit under the Plan as a result of a Qualified Domestic Relations Order ("QDRO"). If you are required to submit this Claim Form, you must decide whether you want your settlement payment (1) sent payable to you directly by check or (2) to be rolled over into another eligible retirement plan or into an individual retirement account ("IRA"). If you had an Active Account as of [Preliminary Approval date] but closed it afterward, you do not need to submit this Claim Form to receive your settlement payment.

To receive a settlement payment, the Settlement Administrator must receive your completed Claim Form by Month XX, 202X.

Claim Forms may be submitted online at **www.[website].com** or by mail at the address below. Please type or legibly print all requested information in blue or black ink. Mail your completed Claim Form, including any necessary supporting documentation, by U.S. Mail, allowing sufficient time for receipt by the deadline above, to the Settlement Administrator at:

Hall, et al. v. SEI Investments Company, et al.
c/o Kroll Settlement Administration LLC
P.O. Box XXXX
New York, NY 10150-XXXX

Former Participants who do not complete and timely submit a Claim Form will not receive a settlement payment. Please review the instructions and reminders carefully. If you have questions regarding this Claim Form, you may contact the Settlement Administrator at www.[website].com using the "Contact Us" form or by calling (XXX) XXX-XXXX.

Reminders:

- You must include your date of birth and Social Security Number (see Section I).
- To do a rollover, you must fully complete the rollover information in Section III. If you do not select a rollover option, the settlement payment will be sent to you directly by check.
- You must provide your signature, the date, and a completed Substitute IRS Form W-9 (see Section IV).
- To update or change your address after sending in your Claim Form, please contact the Settlement Administrator at www.[website].com using the "Contact Us" form or by calling (XXX) XXX-XXXX. Make sure to include your Class Member ID and your phone number in case we need to contact you in order to complete your request.
- Settlement payments to eligible Class Members will be calculated according to the Plan of Allocation (available at www.[website].com) and distributed after the Court grants final approval to the Settlement. If the Court approves the Settlement, there may be appeals. It is always uncertain whether appeals will be filed and, if so, how long it will take to resolve them. Please be patient. If the total amount of valid claims exceeds the amount of funds available, settlement payment amounts will be reduced *pro rata* (proportionally) based on the Plan of Allocation.
- If you submit a valid claim and your settlement payment is initially calculated at $10.00 or less, it will be increased to $10.00, subject to any proportional reduction required by the Plan of Allocation.
- The Settlement Administrator will provide instructions regarding completing this Claim Form only and will not provide financial, tax, or other advice concerning the Settlement. You may want to consult with your financial or

tax advisor. More information, including the status of the approval of the Settlement, is available on the Settlement Website, www.[website].com.

I. PARTICIPANT INFORMATION

If you are the Former Participant, provide your information below. If you are filing as a Beneficiary or Alternate Payee, provide the Plan participant's information below, to the extent known, and your own information in Section II. You must notify the Settlement Administrator if your contact information changes after submission.

_____ _____ _____
First Name **M.I.** **Last Name**

_____
Address 1

_____
Address 2

_____ ___ ___ ___ ___ ___ ___ ___
City **State** **Zip Code**

Telephone Number: (___ ___ ___) ___ ___ ___ — ___ ___ ___ ___

___ ___ ___ — ___ ___ — ___ ___ ___ ___ ___ ___ / ___ ___ / ___ ___ ___ ___
Social Security Number **Date of Birth (MM/DD/YYYY)**

Email Address: _____

Class Member ID (if known): ___ ___ ___ ___ ___ ___ ___ ___

II. BENEFICIARY OR ALTERNATE PAYEE INFORMATION *(IF APPLICABLE)*

If applicable, check the appropriate box and provide the Beneficiary's or Alternate Payee's information below. For a trust, estate, or other entity, enter its legal name on the name line, its taxpayer identification number on the Social Security Number line, and leave the date-of-birth field blank. Complete Sections III and IV for the person or entity entitled to payment.

☐ I am the surviving spouse or other Beneficiary of the deceased Plan participant, or an authorized representative of that Beneficiary. Provide documentation establishing the Beneficiary's entitlement to payment and, if signing as a representative, your authority to act for the Beneficiary.

☐ I am an Alternate Payee under a Qualified Domestic Relations Order (QDRO).

_____ _____ _____
First Name **M.I.** **Last Name**

_____
Address 1

_____
Address 2

_____ ___ ___ ___ ___ ___ ___ ___
City **State** **Zip Code**

___ ___ ___ — ___ ___ — ___ ___ ___ ___ ___ ___ / ___ ___ / ___ ___ ___ ___

Social Security Number **Date of Birth (MM/DD/YYYY)**

Email Address: _____

III. PAYMENT ELECTION

Select either payment by check or one rollover option. A rollover is available only if you and the payment are eligible under applicable tax law and the receiving plan or IRA accepts the rollover. If you are a Beneficiary or Alternate Payee, contact the Settlement Administrator before selecting a rollover because special eligibility rules apply.

☐ **Payment to Self**: A check subject to mandatory federal and applicable state withholding tax will be mailed to the address you provided above.

☐ **Direct Rollover to an Eligible Plan**: Check only one box below and complete the Rollover Information Section.

☐ Government 457(b) ☐ 401(a)/401(k) ☐ 403(b)

☐ Direct Rollover to a Traditional IRA ☐ Direct Rollover to a Roth IRA (subject to ordinary income tax)

ROLLOVER INFORMATION:

_____

Company or Trustee's Name

_____

Mailing Address 1

_____

Mailing Address 2

_____ ___ ___ ___ ___ ___ ___ ___

City **State** **Zip Code**

Your Account Number: _____

Telephone Number: (___ ___ ___ **)** ___ ___ ___ — ___ ___ ___ ___

IV. SIGNATURE, CONSENT, AND SUBSTITUTE IRS FORM W-9

UNDER PENALTIES OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA, I CERTIFY THAT ALL OF THE INFORMATION PROVIDED ON THIS FORMER PARTICIPANT CLAIM FORM IS TRUE, CORRECT, AND COMPLETE AND THAT I SIGNED THIS FORMER PARTICIPANT CLAIM FORM.

1. The Social Security number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. Person (including a U.S. resident alien).

_____ ___ ___ / ___ ___ / ___ ___ ___ ___

Signature Date (MM/DD/YYYY)

_____

Print Name